

# State Review Framework

Lessons From Round One  
and  
Moving Forward With Round Two

NACAA Meeting  
June 11, 2008

# What did we learn from Round 1?

- Use round 1 as a baseline for future reviews
- Focus on recommendation implementation
- Improve consistency
- Standardize analysis and reports
- Increase communication and training

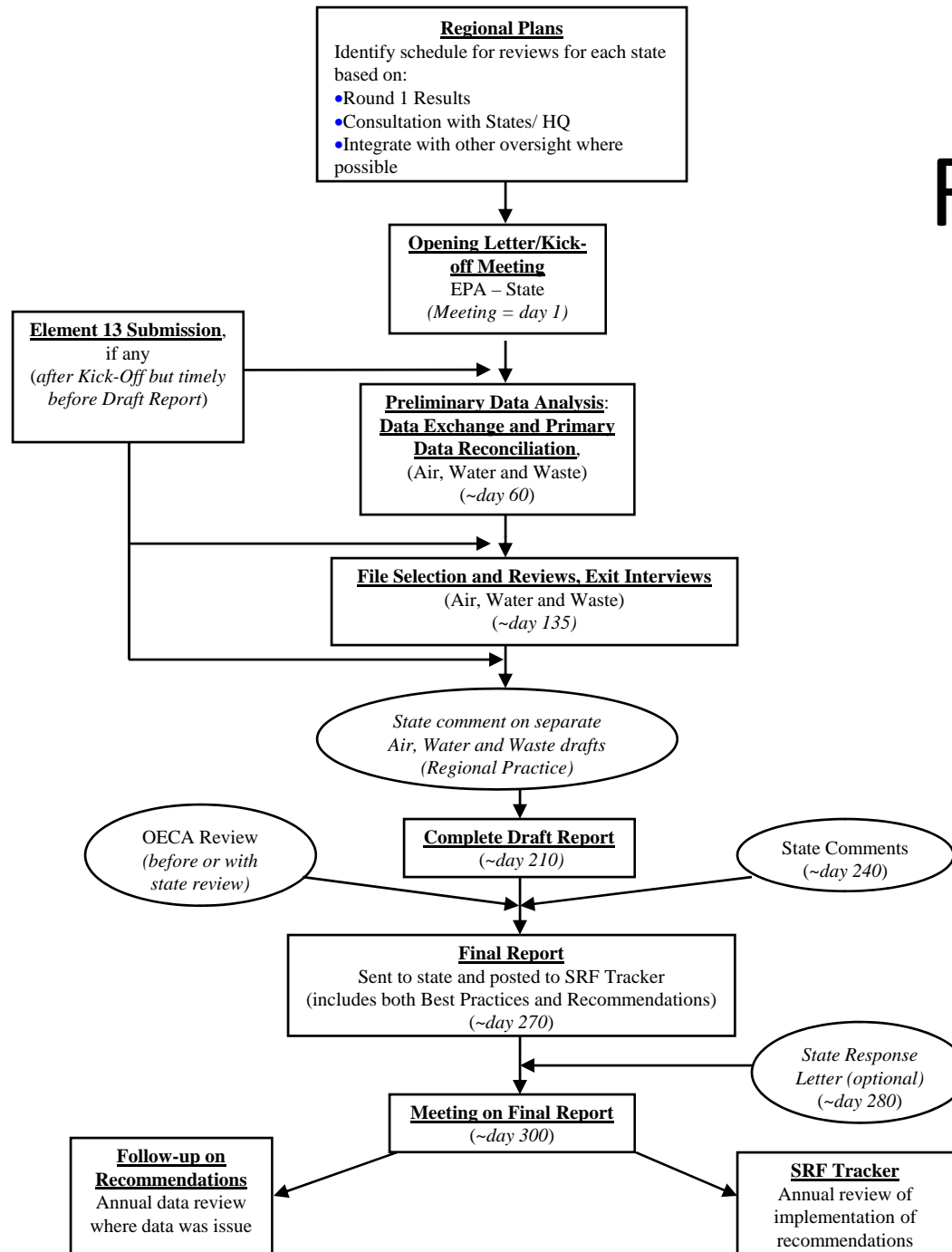
# What did we learn from Round 1?

- Communicate expectations around national policies
- Four common issues:
  - Data entry and reporting
  - SNC/HPV identification
  - Timely enforcement
  - Penalties

# What did we learn from Round 1?

- Include Best Practices
- Align with other oversight where possible
- Do not use differential oversight to rank or grade states
- 3 year cycle is not enough

# Round 2 Process



# Changes From Round 1

- Elements
- Data Metrics
- Process and reports
- Local Agency Guidance
  - Local agencies considered in SRF reports either separately or as contributor to state performance
  - Relationship to EPA and size of regulated universe are factors in decision
  - Encourages consultation
  - Decisions documented in report

# Training

- Training at meetings of the state media associations (NACAA 3 hour training tomorrow)
- General training for each region at the regional office
  - States within each region are invited to participate
- Senior management training and discussion at RA/DRA/OECA AA and State Commissioner level
- Focused training on specific parts of the SRF process where consistency is important
  - Training on the Preliminary Data Analysis is in early October

# Training

- Focused training will also be provided for:
  - The File Review process;
  - Report writing and generation; and
  - Use of the SRF Tracker.
- Webinars will be made available to states that can not attend training
- Webinars will also be available throughout the 4 year cycle.
- Refreshers will be available on-line through NETI



# Consistency: Where is it Important?

- Process:
  - General process should include similar key steps but every step does not need to be identical
  - Collaborative process
  - Use of national policy/guidance as standards
  - Use of data and file review metrics
  - File selection methodology
  - Generation of report that follows formats
  - State review of and input into draft reports
  - Identification of findings and recommendations
  - Track and manage implementation of recommendations
  - Minimum qualifications of reviewers

# Consistency: Where is it Important?

- Across Media:
  - Assume consistency across media, but take program differences into consideration
  - Key steps in general process should be similar across media
  - Decisions and judgments based on consistent criteria

# Consistency: Where is it Important?

- Reporting:
  - Consistent format/common sections
    - General introductory information
    - Status of recommendations from round 1
    - Data Metrics pull/documentation of data discrepancies
    - Preliminary data analysis
    - File selection
    - File reviews, including file review metrics
    - Findings
    - Areas of concern and significant recommendations
    - Executive Summary
  - Must be able to determine performance from report

# Integration of CMS Evaluations and SRF Reviews

- These reviews will now be integrated into one
- Additional information in the introductory section:
  - Organizational structure
  - Relationship to local agencies/regional offices
    - How covered in the review
  - Resources
  - Training
- Additional data metrics; information covered in file reviews

# Implementation of Round 2

- Regional Plans:
  - Schedule for conducting reviews across the 4-year cycle
  - Due to OECA by June 30
  - States should be consulted in their development
- Kick-off letter initiating the first review
- Data pull for each media for first state completed and sent to the state for review to ensure accuracy
- PDA training in early October for regions
- All state reviews completed by the end of FY2012