

Reference Table for Reporting to ICIS-Air  
4/27/2016

**Federally-Reportable Universe**

The approved information collection request (ICR) for Air Stationary Source Compliance and Enforcement Information Reporting identifies what data are to be reported in ICIS-Air. This document was developed in response to a request from NACAA members and is intended to be a guide to facilitate reporting to ICIS-Air.

Facilities that fall within one or more of the six categories below compose the federally-reportable universe and are to be included in ICIS-Air. The Federally-Reportable Violations (FRV) Policy, High Priority Violations (HPV) Policy, and Stationary Source Compliance Monitoring Strategy (CMS) Policy apply to subsets of the ICR federally-reportable universe as noted in the following table:

<b>Table of Comparative Applicability</b>				
<b>Category</b>	<b>ICR</b>	<b>CMS Policy</b>	<b>FRV Policy</b>	<b>HPV Policy**</b>
Title V major sources	All	All	All	All
Synthetic minor sources	All	SM-80*	SM-80*	-
Sources subject to a Part 61 NESHAP regulation	All	-	-	-
Facilities included in a traditional or alternative CMS plan	All	All	All	All
Facilities subject to a formal enforcement action	All	-	-	-
Facilities with an HPV	All	-	All	All

\*The CMS Policy and FRV Policy applies to all synthetic minor sources if the state/local/tribal regulatory authority does not differentiate SM-80s from other synthetic minors.

\*\*In addition, minor sources may be subject to the HPV policy, in the following manner:

- ▶ **NSR Minor Sources** (if included or not in a state's CMS Plan) are subject to the HPV Policy as a Criterion 1 HPV if the *source's violation* of an emission limit or standard results in the actual emissions from the source exceeding major source thresholds.

- ▶ **NESHAP Area sources** (if included or not in a state’s CMS Plan) are subject to the HPV Policy as a Criterion 4 HPV if it is determined that the source did not have an enforceable limit prior to the applicability date, such that the source is actually a major source, or if it is determined that the source so regularly violates its area source limit, such that the limit could be seen as a sham, and the source is actually a major source.
- ▶ **Minor or Area Sources that are on a state’s CMS Plan** are subject to this policy if the source violates an NSPS such that the violation meets Criterion 3.

### ICIS-Air Data Fields

The data included in the Air Stationary Source Compliance and Enforcement Information Reporting ICR are listed in the following table by screen/area in ICIS-Air with the corresponding policy or policies identified via a check (✓). Further explanation is provided when reporting the data is conditional or dependent on some other factor. For example, Subparts are to be reported for the MACT Air Program when a source is major for hazardous air pollutants.

ICIS-Air Area	Field Name	ICR	FRV Policy	HPV Policy	CMS Policy
Source / Facility	Programmatic ID	✓	✓	✓	✓
	Facility Site Name	✓	✓	✓	✓
	Address	✓	✓	✓	✓
	City (GNIS City Code or City Name)	✓	✓	✓	✓
	State	✓	✓	✓	✓
	County Name (GNIS)	✓	✓	✓	✓
	Zip Code	✓	✓	✓	✓
	Primary NAICS Code	✓	✓	✓	✓
	Facility Type of Ownership	✓	✓	✓	✓
Air Programs [Facility]	Air Program	✓	✓	✓	✓
	Air Program Operating Status	✓	✓	✓	✓
	Subparts	Major Source of HAPS or Title V Major Subject to NSPS	✓	✓	✓
Pollutants [Facility]	Pollutant Name or CASN	✓	✓	✓	✓
	Delegated Agency Pollutant Classification	✓	✓	✓	✓

CMS Record	CMS Source Category	CMS Only	-	-	✓
	CMS Minimum Frequency	CMS Only	-	-	✓
FCE Record	Programmatic ID	✓	-	Discovery Action	✓
	Compliance Monitoring Activity Type	✓	-	Discovery Action	✓
	Compliance Monitoring Type	✓	-	Discovery Action	✓
	Lead Agency	✓	-	Discovery Action	✓
	Actual End Date	✓	-	Discovery Action	✓
	Air Programs	✓	-	Discovery Action	✓
	Compliance Monitoring Identifier	✓	-	Discovery Action	✓
PCE Record	Programmatic ID	Discovery Action /Alternative Plan	-	Discovery Action	Alternative Plan
	Compliance Monitoring Activity Type	Discovery Action /Alternative Plan	-	Discovery Action	Alternative Plan
	Compliance Monitoring Type	Discovery Action /Alternative Plan	-	Discovery Action	Alternative Plan
	Lead Agency	Discovery Action /Alternative Plan	-	Discovery Action	Alternative Plan
	Actual End Date	Discovery Action /Alternative Plan	-	Discovery Action	Alternative Plan
	Air Programs	Discovery Action /Alternative Plan	-	Discovery Action	Alternative Plan
	Compliance Monitoring Identifier	Discovery Action /Alternative Plan	-	Discovery Action	Alternative Plan
Investigations	Programmatic ID	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring Activity Type	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring Type	✓	-	Discovery Action	Alternative Plan
	Lead Agency	✓	-	Discovery Action	Alternative Plan
	Actual End Date (Investigation Conducted)	✓	-	Discovery Action	Alternative Plan
	Air Programs	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring	✓	-	Discovery Action	Alternative Plan

	Identifier				
Stack Tests	Programmatic ID	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring Activity Type	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring Type	✓	-	Discovery Action	Alternative Plan
	Conducted By	✓	-	Discovery Action	Alternative Plan
	Observed By	✓	-	Discovery Action	Alternative Plan
	Lead Agency	✓	-	Discovery Action	Alternative Plan
	Actual End Date	✓	-	Discovery Action	Alternative Plan
	Stack Test Status	✓	-	Discovery Action	Alternative Plan
	Air Programs	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring Identifier	✓	-	Discovery Action	Alternative Plan
TV ACCs	Programmatic ID	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring Activity Type	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring Type	✓	-	Discovery Action	Alternative Plan
	Lead Agency	✓	-	Discovery Action	Alternative Plan
	Planned End Date (Due Date)	✓	-	Discovery Action	Alternative Plan
	Actual End Date (Received Date)	✓	-	Discovery Action	Alternative Plan
	Air Programs	✓	-	Discovery Action	Alternative Plan
	Compliance Monitoring Identifier	✓	-	Discovery Action	Alternative Plan
TV ACC Review	Reviewer Agency	✓	-	Discovery Action	Alternative Plan
	Reviewed on [Date]	✓	-	Discovery Action	Alternative Plan
	Did Facility Report Deviations?	✓	-	Discovery Action	Alternative Plan
Informal Enforcement Actions (e.g., NOV)	Programmatic ID	✓	✓	✓	-
	Enforcement Action Forum	✓	✓	✓	-
	Enforcement Action Type	✓	✓	✓	-
	Lead Agency	✓	✓	✓	-

	Achieved Date	✓	✓	✓	-
	Programs Violated	✓	✓	✓	-
	Enforcement Action Identifier	✓	✓	✓	-
Formal Enforcement Actions	Programmatic ID	✓	-	✓	-
	Enforcement Action Forum	✓	-	✓	-
	Enforcement Action Type	✓	-	✓	-
	Lead Agency	✓	-	✓	-
	Milestones: Actual Date	✓	-	✓	-
	Programs Violated	✓	-	✓	-
	Enforcement Action Identifier	✓	-	✓	-
Final Order	Final Order ID	✓	-	✓	-
	Programmatic ID	✓	-	✓	-
	Final Order Type	✓	-	✓	-
	Final Order Issued Date (Admin)	✓	-	✓	-
	Final Order Entered Date (Judicial)	✓	-	✓	-
	Air Resolved Date	✓	-	✓	-
	Penalty Assessed to be Paid To:	✓	-	✓	-

Case File	Programmatic ID	✓	✓	✓	✓
	Programs	✓	✓	✓	✓
	Pollutants	✓	✓	✓	✓
	Lead Agency	✓	✓	✓	✓
	Case File Identifier	✓	✓	✓	✓
Violation Details	Violation Type	✓	✓	✓	✓
	Air Program	✓	✓	✓	✓
	Pollutant	✓	✓	✓	✓
	HPV Day Zero Date	✓	✓	✓	✓
	Method of Advisement	✓	-	✓	-
	Date of Advisement	✓	-	✓	-
HPV Pathway	Discovery Action/Date	✓	✓	✓	✓
	Addressing Action/Date	✓	✓	✓	✓
	Resolving Action/Date	✓	✓	✓	✓