

ID #	Name	Type	Goal	What it measures	HPV Policy Reference
ELEMENT 1 - DATA					
3a2	Un at Timely entry reporting of HPV determinations	Data, Goal	Zero HPV determinations reported beyond 60 days	The number of days between the Day Zero "Date Achieved" and the Day Zero "Date-Created" in ICIS-AIR.	
3b1	Timely reporting of compliance monitoring MDRs	Data, Goal	100%	Percentage of compliance monitoring-related MDR actions achieved during the review year that were reported within 60 days of the date achieved. <u>Numerator</u> = number of compliance monitoring-related MDR actions achieved during the review year and reported within 60 days of the date achieved. <u>Denominator</u> = number of compliance monitoring-related MDR actions achieved during the review year at federally reportable facilities. Because stack test results can be reported within 120 days, stack tests are not included in this metric.	
3b2	Timely reporting of stack test dates and results	Data, Goal	100%	Percentage of stack tests achieved during the review year that were reported within 120 days of the stack test. <u>Numerator</u> = number of stack tests achieved during the review year with results reported within 120 days of the stack test. Stack test actions include both observed and reviewed, and reviewed only. <u>Denominator</u> = majors, synthetic minors, and Part 61 NESHAP minors with a stack test achieved during the review year.	
3b3	Timely reporting of enforcement MDRs	Data, Goal	100%	Percentage of enforcement actions achieved during the review year that were reported to ICIS-AIR within 60 days. <u>Numerator</u> = number of enforcement actions achieved during the review year that were reported to ICIS-AIR within 60 days;	

Commented [PJ1]: Unaffected by the new HPV Policy

Commented [PJ2]: Unaffected by the new HPV Policy

Commented [PJ3]: Unaffected by the new HPV Policy

Commented [PJ4]: Unaffected by the new HPV Policy

				<p><u>Denominator</u> = number of enforcement actions achieved during the review year.</p>	
7b1	Violations reported per informal actions	Data, Goal	100%	<p><u>Percentage of sources with FRVs that received an informal action (e.g., notice of violation) during the review year and a compliance status of either in violation or meeting schedule was recorded in ICIS-Air during the review year.</u></p> <p>Percentage of Tier I sources that received a notice of violation (informal enforcement action) during the review year and a compliance status of either in violation or meeting schedule was recorded in ICIS-AIR during the review year.</p> <p><u>Numerator = # of sources with an informal enforcement action issued during the review year and an FRV determination reported.</u></p> <p><u>Denominator = total # of sources with an informal enforcement action issued during the review year.</u></p> <p><u>Numerator = the number of Tier I sources with a notice of violation issued during the review year, and a compliance status of either in violation or meeting compliance schedule at any time during the review year.</u></p> <p><u>Denominator = the number of Tier I sources with a notice of violation issued during the review year.</u></p>	
7b3	Violations Reported Per HPV Identified	Data, Goal	100%	<p>Percentage of all major sources with at least one HPV identified during the review year, and a compliance status of either in violation or meeting compliance schedule recorded in ICIS-AIR during the review year.</p> <p>Numerator = the number of major sources with an HPV identified during the review year, and a compliance status of either in violation or meeting compliance schedule at any time during the review year.</p>	

Commented [PJ5]: Since all HPVs will now be reported as a violation, this metric is no longer needed.

				<u>Denominator</u> – the number of major sources with at least one HPV identified during the review year.	
ELEMENT 2 - INSPECTIONS					
5a	FCE coverage: majors and mega-sites	Data, Goal	100% of commitment	Percentage of CMS majors and mega-sites that received an FCE within a negotiated frequency. <u>Numerator</u> = the number of CMS major sources and mega-sites where an FCE was completed by the end of the review year; <u>Denominator</u> = the number of CMS major sources and mega-sites where an FCE was completed, plus those planned but not completed by the end of the review year.	
5b	FCE coverage: SM-80s	Data, Goal	100% of commitment	Percentage of CMS SM-80s that received an FCE within a negotiated frequency. <u>Numerator</u> = the number of CMS SM-80 sources where an FCE was completed by the end of the review year; <u>Denominator</u> = the number of CMS SM-80 sources where an FCE was completed, plus those planned but not completed by the end of the review year.	
5c	FCE coverage: synthetic minors (non-SM 80s) that are part of CMS plan <u>or alternative CMS Plan</u>	Data, Goal	100% of commitment	Percentage of synthetic minors (SMs), not including SM-80s, included on a CMS plan that received an FCE within a negotiated frequency. <u>Numerator</u> = the number of CMS synthetic minor sources where an FCE was completed by the end of the review year; <u>Denominator</u> = the number of CMS synthetic minor sources where an FCE was completed, plus those planned but not completed by the end of the review year.	

Commented [PJ6]: Unaffected by the new HPV Policy

Commented [PJ7]: Unaffected by the new HPV Policy

5d	FCE coverage: minor sources that are part of a CMS plan <u>or alternative CMS Plan</u>	Data, Goal	100% of commitment	Percentage of minor sources included in a CMS plan that received an FCE within a negotiated frequency. <u>Numerator</u> = the number of CMS minor sources where an FCE was completed by the end of the review year; <u>Denominator</u> = the number of CMS minor sources where an FCE was completed, plus those planned but not completed by the end of the review year.	
5e	Reviews of Title V annual compliance certifications completed	Data, Goal	100%	Percentage of the active Title V universe (regardless of classification) for which the agency has reviewed a Title V annual compliance certification (ACCs) during the review year. Active refers to an operating status of either operating (O), temporarily closed (T), or seasonal (I). <u>Numerator</u> = the number of active Title V sources with a Title V ACC reviewed by the agency for the review year; <u>Denominator</u> = the active Title V universe.	
ELEMENT 3 - VIOLATIONS					
8a	HPV discovery rate at majors	Data, Review Indicator	N/A	HPV "discovery rate" based on active major sources. <u>Numerator</u> = the universe of active major sources with an HPV identified during the review year. <u>Denominator</u> = the universe of active major sources.	

Commented [PJ8]: Unaffected by the new HPV Policy

Commented [PJ9]: Affected, but no revisions required.

Commented [PJ10]: A proposal to remove metric 8a has been made because 8c (a file metric) reviews the same information more effectively.

ELEMENT 4 - ENFORCEMENT

10a	<u>Timely action taken to address HPVs-Timely Response to HPVs</u>	<u>Data, Review Indicator File Review</u>	N/A	<p><u>Percent of HPVs determined in the review year that are either a) addressed within 180 days of Day Zero or b) not addressed within 180 days of Day Zero, but have a case development and resolution timeline in place (that meets the requirements of the HPV policy) within 225 days of Day Zero.</u></p> <p><u>Numerator: Number of HPVs determined in the review year that are either: a) addressed within 180 days of Day Zero; or, b) not addressed within 180 days of Day Zero, but have a case development and resolution timeline in place (that meets the requirements of the HPV policy) within 225 days of Day Zero.</u></p> <p><u>Denominator: Number of HPVs determined in the review year.</u></p> <p><u>Percentage of HPV addressing actions that meet the timeliness standard in the HPV Policy.</u></p> <p><u>Numerator = the number of HPVs addressed during the review year that were addressed within 270 days (or 300 days if a lead change occurred) of the Day Zero Date Achieved.</u></p> <p><u>Denominator = the total number of HPVs addressed during the review year.</u></p>	<u>Pages 7-8 (Sec. V.2)</u>
<u>Supplementary Metric (1)</u>	<u>Timely HPV Identification</u>	<u>Data</u>	<u>100%</u>	<p><u>Within 90-days after the compliance monitoring activity or discovery action that first provides reasonable information indicating a violation of federally-enforceable requirements, an HPV determination should be made.</u></p> <p><u>Numerator: # of HPVs identified during the review year within 90-days of the discovery action.</u></p> <p><u>Denominator: # of HPVs identified during the review year.</u></p>	<u>Pages 4-5 (Sec III para 2)</u>

Commented [PJ11]: Metric 10a would no longer be a data review indicator. It would become a file review metric.